

# Doing data differently



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With more than 20 years of experience, we offer comprehensive and complete securities reference data for equities and fixed income instruments around the globe.

Our customers can rely on our successful track record to efficiently deliver high quality data sets including:

- Worldwide Corporate Actions
- Worldwide Fixed Income
- Security Reference File
- Worldwide End-of-Day Prices

Exchange Data International has recently expanded its data coverage to include economic data. Currently it has three products:

- African Economic Data [www.africadata.com](http://www.africadata.com)
- Economic Indicator Service (EIS)
- Global Economic Data

Our professional sales, support and data/research teams deliver the lowest cost of ownership whilst at the same time being the most responsive to client requests.

As a result of our on-going commitment to providing cost effective and innovative data solutions, whilst at the same time ensuring the highest standards, we have been awarded the internationally recognized symbol of quality ISO 9001.

Headquartered in United Kingdom, we have staff in Canada, India, Morocco, South Africa and United States.



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## Short Interest Data

Exchange Data International provides **short interest data** for a number of global markets. Short interest is a market- sentiment indicator that tells whether investors think a stock's price is likely to fall. It can also be compared over time to examine changes in investor sentiment.

Short interest regulation and reporting requirements vary by country. The tables below outline the data collected in each market where short selling is permitted.

We are in the process of adding two additional fields namely Short Ratio which gives the days to cover and Average Traded Volume for the past 30 days.

### Countries with Short Interest Data by Position Holder – Data fields provided

Data for these countries is reported to local regulators in compliance with ESMA short selling regulations and began for most of these markets on 1 November 2012. The exceptions to this are Spain, which has data going back to 10 June 2010 and Greece where the history begins 30 May 2013.

	Austria	Belgium	Denmark	Finland	France	Germany	Greece	Hungary	Ireland	Italy	Japan	Netherlands	Poland	Portugal	Spain	Sweden	UK
Bloomberg Exchange Ticker	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Bloomberg Global ID	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
FIGI Exchange Ticker	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
FIGI Global ID	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
ISIN	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
ISSUER	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Local Code	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
SEC ID	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
US Code	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Cancellation Date	x	x															
Daily or Monthly	D	D	D	D	M	D	D	D	D	D	D	D	D	D	D	D	D
Disclosed Position Holder Total	x	x	x	x	x	x	x	x	x	x		x	x	x	x	x	x
Holder Net Short Position %	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x
Position Date	x	x	x	x	x	x	x	x	x	x		x	x	x	x	x	x
Position Holder	x	x	x	x	x	x	x	x	x	x		x	x	x	x	x	x
Publication Date	x	x	x	x	x	x	x	x	x	x		x	x	x	x	x	x
Short Position	x	x	x	x	x	x	x	x	x	x		x	x	x	x	x	x

## Countries with Short Interest Data by Traded Volume/Position

### Australia

Australian data is updated daily. History is available from 15 Oct 2010. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- SEC ID
- Security Description
- Short Position
- % Short Position

### Brazil

Brazilian data is updated daily. Field available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- SEC ID
- Security Description
- Short Position
- Shares to Be Short Sold
- Shares to Be Short Sold Value

### Canada (IIROC)

Canadian (IIROC) Short Interest Data is available monthly – published on the 15<sup>th</sup> of each month. History is available from January 2013. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- SEC ID
- US Code
- Security Description
- Short Volume
- Short Values
- Short Trade
- % Short Volume
- % Short Values
- % Short Trades

### Canada (CSE)

Canadian (CSE) Short Interest Data is available monthly – published on the 15<sup>th</sup> of each month. History is available from January 2013. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- SEC ID
- US Code
- Security Description
- Short Volume
- Short Values
- Short Trade
- % Short Volume
- % Short Values

- % Short Trades

## China – Shanghai

Shanghai Short Interest Fields Data is available daily. History is available from March 2010. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- Local Code
- SEC ID
- Short Volume
- Short Position

## China – Shenzhen

Shenzhen Short Interest Fields Data is available daily. History is available from April 2010. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- SEC ID
- Local Code
- Short Volume
- Short Position
- Short Position Value
- Short Trades

## Chile

Chile Short Interest Fields Data is available daily. History is available from December 2011. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- Local Code
- SEC ID
- Short Position
- Short Position Value

## Hong Kong

Hong Kong data is updated weekly. History is available from March 2014. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- SEC ID
- Security Description
- Short Position
- Short Position Value
- Value Currency

## Israel

Israeli data is updated weekly. History is available from July 2010. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- Security Description
- Short Position Previous Balance
- Short Position
- Short Position Value
- Days to Cover

## Malaysia

Malaysia data is updated daily. History is available from 06 Aug 2018. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- Value Currency
- Short Volume
- Short Volume Value
- Security Description

## Mexico

Mexico data is updated daily. History is available from 01 Feb 2019. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- SEC ID
- Security Description
- Short Volume
- Short Position
- Short Position Previous Balance

## New Zealand

New Zealand data is updated daily. History is available from 15 Apr 2019. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- SEC ID
- Local Code
- Short Position
- Short Trades

## Norway

Norway data is updated daily. History is available from 01 Nov 2018. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- Previous Balance
- Reported Date

- Security Description
- Short Position
- % Short Position

## Peru

Peru data is updated daily. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- SEC ID
- Local Code
- Short Volume
- Short Volume Value
- Short Position

## Singapore

Singapore data is updated daily. History is available from 01 March 2013. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- Value Currency
- Security Description
- Short Position
- Short Position Value

## South Korea

South Korea Short Interest Fields Data is available daily. History is available from May 2019. Fields available are:

- FIGI Composite Ticker
- FIGI Global ID
- FIGI Exchange Ticker
- ISIN
- Local Code
- SEC ID
- % Short Position
- Short Position
- Short Volume Value
- % Short Volume Value
- Short Volume
- % Short Volume
- Short Position Value

## Taiwan

Taiwan Short Interest Fields Data is available daily. History is available from August 2018. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- Short Position Prev Balance
- Reported Date
- Security Description
- Short Position



## Thailand

Thailand data is updated daily. History is available from 24 April 2017. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- Value Currency
- SEC ID
- Short Position Value
- Short Position
- % Short Position

## Turkey

Turkey data is updated daily. History is available from 01 Jan 2016. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Local Code
- Value Currency
- Reported Date
- Security Description
- Short Volume
- Short Volume Value
- Short Trades

## US Finra Short Sales Report

US Finra Short Sales Report\* data is updated daily. History is available from 1 Jan 2014. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Value Currency
- SEC ID
- US Code
- Reporting Market\*
- Short Position
- Short Position Prev Balance

## US Finra OTC

US Finra OTC\* data is updated twice a month. History is available from 1 Jan 2014. Fields available are:

- FIGI Exchange Ticker
- FIGI Global ID
- ISIN
- Value Currency
- SEC ID
- US Code
- Short Position Prev Balance
- Short Position
- Average Daily Share Volume
- Days to Cover

\*Reporting market values are: Q = NASDAQ (for NQ TRF) D = ADF O = ORF N = NYSE (for NYX TRF)

## Countries Which Permit Short Selling but Have No Activity

The following countries permit short selling but there is currently no activity. EDI monitors these markets and will provide updates if / when there is activity.

Bulgaria	India	Philippines
Croatia	Latvia	Romania
Cyprus	Lithuania	Saudi Arabia
Czech Republic	Luxembourg	Slovakia
Estonia	Malta	

## Restrictions

EDI data will include notifications of restrictions to the short selling regulations as provided by the market regulator and/or exchange. This data will include:

- FIGI Global ID
- FIGI Exchange Ticker
- Country
- Local code
- ISIN
- US Code
- SEC ID
- Start date
- End date
- Description of restriction
- Link to regulatory notice

There is also a section on EU restrictions:

For EU countries, additional restrictions may apply, and EDI will include a list of those shares as published on the ESMA registers. This is a daily file and includes the following:

- FIGI Global ID
- FIGI Exchange Ticker
- Local code
- ISIN
- US Code
- SEC ID
- Start data
- Description of restriction/Regulating Authorization

## Short Selling Time Reporting Rules

### Country Country Code

Australia AU

Australia requires reporting short positions exceeding \$100,000 and 0.01% of the share class.

**Report by 9 am next day capturing all short sales executed up to 7 pm.**

The total of short positions for financial products on a given reporting day will be **published on the ASIC website four days after the reporting day (T+4)**. These reports will not contain short seller details.

### Country Country Code

Austria AT

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

Article 9(4) of the Short-Selling Regulation determines that “The public disclosure of information set out in Article 6 shall be made in a manner ensuring fast access to information on a non-discriminatory basis. That information shall be posted on a central website operated or supervised by the relevant competent authority. The competent authorities shall communicate the address of that website to ESMA, which, in turn, shall put a link to all such central websites on its own website”.

As explained in ESMA’s Consultation Paper there are certain aspects of the SSR which provide a flexible approach. The deadline is 15:30 for publications of net short positions, this applies to both the notification and the disclosure. Competent authorities can receive notifications at this time, and they should then publish the notification immediately.

**Country    Country Code**

Belgium    BE

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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**Country    Country Code**

Brazil    BR

Q1) When do short sales need to be notified to the regulator?

In Brazil, we have a beneficial owner regime, meaning that every trade is cleared and settled with the investor identification. Besides, sellers shall have their stocks in a central securities depository (CSD) at the settlement time, implying that they have to own the stocks or borrow them. There is no naked short selling in Brazil. According to CVM Instruction 441/2006 (art. 2), only a financial market infrastructure licensed by CVM as a CSD can provide stock lending services and this institution shall inform daily the CVM about the operations done in its market.

Q2) When does the regulator publish the data?

The CVM does not publicize data regarding short selling. However, the CVM Instruction 441/2006 (art. 11) establishes that CSDs which provide stock lending services shall disclose, daily and for each security, the volume lent.

Considering that stock lending is to some extent a future settlement contract, provisions of the CVM Instruction 283/1998 also apply. Such Instruction determines that exchanges shall set exposure limits for each investor, intermediary and market. For transparency purposes, B3, the trading venue licensed in Brazil, also discloses in its web page the number of contracts traded per day.

**Country    Country Code**

Canada    CA

**REPORTING PROCESS**

**To regulators:** E-mail to TSX; Within 2 trading days; twice per month

T+4 8 am - Consolidated Short Position Report available on IIROC website.

**Country    Country Code**

Chile    CL

Short sale operations to be notified by 6.30pm of the same trading day. Stock Exchange will publish short sales in their daily bulletin.

**Country    Country Code**

China    CN

Firms required to disclose short-selling trading information on a daily basis before 9:00 a.m. on the next trading day.

The stock exchange publishes short selling information of previous trading day in website before 9:15 a.m.

**Country    Country Code**

Denmark    DK

Please be aware that Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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**Country    Country Code**

Finland    FI

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**Country    Country Code**

France    FR

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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**Country    Country Code**

Germany    DE

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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central websites on its own website”.

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Country	Country Code
Greece	GR

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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As explained in ESMA’s Consultation Paper there are certain aspects of the SSR which provide a flexible approach. The deadline is 15:30 for publications of net short positions, this applies to both the notification and the disclosure. Competent authorities can receive notifications at this time, and they should then publish immediately.

Country	Country Code
Hong Kong	HK

The reporting deadline is **two business days following the last trading day of the previous week**.

The data is typically published on the SFC's website **three business days after the receipt of the short position reports**.



Country	Country Code
Hungary	HU

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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As explained in ESMA’s Consultation Paper there are certain aspects of the SSR which provide a flexible approach. The deadline is 15:30 for publications of net short positions during the trading day, this applies to both the notification and the disclosure. Competent authorities can receive notifications at this time, and they should then publish immediately.

Country	Country Code
Ireland	IE

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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**Country**      **Country Code**

Israel          IL

Members shall report to the Exchange short sales carried out by them and the balance of short sales for each security, as specified below:

On the first trading day of each week members shall report to the Exchange the short sales carried out and the balance of short sales for each security, as of the last trading day of the preceding week.

The Exchange publishes the data every second trading day of the week, at the end of the day, weekly.

**Country**                      **Country Code**

Italy                              IT

Article 9(2) of the Short Selling Regulation establishes that "The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified".

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**Country**      **Country Code**

Korea (South)    IT

Outstanding short position by issue showed above is calculated by collecting outstanding short position by issue reported by the investor with a reporting obligation in compliance with the Article 180-2 of the Financial Investment Services and Capital Markets Act.

In accordance with the outstanding short position reporting system, an investor is obligated to report when the investor's outstanding short position meets the criteria below.

-When the ratio of the investor's net outstanding short position is at or below negative (-) 0.01% and the value of the net outstanding short position is at or below negative (-) KRW 100 million - When, regardless of the ratio, the value of the net outstanding short position is at or below (-) KRW 1 billion.

Since the investor is required to report outstanding short position until two days from T (when the reporting obligation arises), outstanding short position of two days before the day is provided.

(Please note that outstanding short position can be changed when the investor makes a new or modified report on the position after 18:00 on T+2 to the Financial Supervisory Service.)

**Country**      **Country Code**

Malaysia      MY

Rule 8.30 requires a Participating Organization to report to the Exchange **daily** or in such other frequency as may be determined by the Exchange, in the format prescribed by the Exchange, the Net Short Position and any other information in relation to the Net Short Position as may be required by the Exchange from time to time.

From the SE: "We currently display the following **EOD** Regulated Short Selling information on Bursa's website."

**Country**      **Country Code**

Mexico      MX

Short sales must be notified by 3 pm on the day they take place. The regulator has up to 2 business days to publish the short sales.

**Country**      **Country Code**

Netherlands    NL

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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**Country**      **Country Code**

New Zealand    NL

**Disclosure:** There is no specific disclosure obligation in respect of either naked or covered short selling. However, there is a requirement that any short sales must be flagged by the "Trading Participant" as such in the Trading System but this information will not be released other than in an aggregated form per issuer.

From the SE: "Short sales are recorded here at the exchange. We don't publicly publish this data. It is available for purchase on a T+2 basis."

**Country    Country Code**

Norway    NO

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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**Country    Country Code**

Peru    PE

The regulator receives the information on short sales in real time and also at the end of the trading day.

The information must be published on the SE website on their daily bulletin at the end of the trading day.

**Country    Country Code**

Poland    PL

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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**Country    Country Code**

Portugal    PT

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**Country      Country Code**

Singapore      SG

A person with a reportable short position must report his short position to MAS by lodging a Short Position Reporting Form **within two business days after position day**. This means that if the position day is a Friday, the form should be lodged by the following Tuesday; assuming that there are no public holidays in that week.

MAS publishes aggregate short position information of each security on its website **every week**.

**Country      Country Code**

Spain      ES

Article 9(2) of the Short Selling Regulation establishes that “The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified”.

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provide a flexible approach. The deadline is 15:30 for publications of net short positions, this applies to both the notification and the disclosure. Competent authorities can receive notifications at this time, and they should then publish immediately.

**Country**    **Country Code**

Sweden    SE

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**Country**    **Country Code**

Taiwan    TW

The TWSE takes a proactive approach to disclose short selling balances on daily basis; short sellers do not need to report it.

Availability on TWSE website: "The information provided here is updated each trading day around 20:30 and 22:30, respectively. Actual renewal time may differ due to daily closing procedures."



**Country      Country Code**

Thailand      TH

The company shall prepare a report of the head office and branch (if any) in accordance with the form prescribed by the Exchange **every end of business day** and made through the Members Supervision and Examination Department, The Stock Exchange of Thailand, by BRS (Broker Reporting System) within 8.00 p.m. of each business day. In case that has not short sale items which have not yet been covered, the company shall file the report.

The company shall report for short selling for both the company's account and its customers which classified according to each individual security after less number of shares of each securities which have been covered for both purchasing in return securities of own company and other company.

**Country      Country Code**

Turkey      TR

From the SE website: "**Short selling transactions are announced on the Daily Bulletin** on equity basis, stating the amount and contract quantity at each price level."

"No need to report any short sale transactions. Since Borsa Istanbul is the only trading venue in Turkey, all short sale transactions are realized in Exchange in normal order book."

**Country      Country Code**

United Kingdom      GB

Article 9(2) of the Short Selling Regulation establishes that "The relevant time for calculation of a net short position shall be at midnight at the end of the trading day on which the natural or legal person holds the relevant position. That time shall apply to all transactions irrespective of the means of trading used, including transactions executed through manual or automated trading, and irrespective of whether the transactions have taken place during normal trading hours. The notification or disclosure shall be made not later than at 15.30 on the following trading day. The times specified in this paragraph shall be calculated according to the time in the Member State of the relevant competent authority to whom the relevant position must be notified".

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Country	Country Code
United States	US

Firstly, firms are obligated to report short positions to FINRA twice a month. The short positions reflect a firm’s accumulated short position in a security in effect at the end of the day on the settlement date, 15<sup>th</sup> of the month (or prior settlement date if the 15<sup>th</sup> falls on a weekend or holiday) and on the last settlement date of the month.

Secondly, when a broker-dealer executes a sale, it has an obligation to report the transaction within 10 seconds of execution and to indicate if the sale was long or short. The total number of shares sold short are compiled and published on a daily and monthly basis by the Exchanges, including FINRA, which reports the data for securities traded over-the-counter. **The daily short sale transaction data includes all trades reported to the consolidated tape as a short sale during regular trading hours, i.e., 9:30 a.m. to 4 p.m. and reflects the total number of shares sold short that day.**



## Customization

EDI is proud to offer the most effective and efficient solutions tailored to meet each individual customer's needs. We offer a range of customization options including:

- Delivery-based solutions to complement existing client infrastructure.
- Content provided at the geographical or portfolio holding level.
- Feeds containing particular formats, field content and integrated client level data items.

EDI uses its extensive data research expertise to source, scrub and integrate new client specified data items with existing products and services. For instance, a request from a multinational investment bank to source the DR universe and map it against its underlying share portfolio ultimately led to the development of EDI's successful Depository Receipt Database.

In addition, EDI was the first vendor to successfully launch an ISO 15022 Corporate Action Messaging feed. This enables customers to reduce costs and increase efficiency by removing the need for multiple feed handlers.

## Support

### Customer Support

**Monday – Friday**  
Open 24 hours

**Saturday**  
12AM - 8AM (GMT)

**Sunday**  
11PM-12AM(GMT)

**Call +44 207 324 0020**

Email: [support@exchange-data.com](mailto:support@exchange-data.com)

Customer support is closed Christmas and New Year's Day.

We aim to acknowledge all queries within an hour of receipt and answer queries within 24 hours where possible.

We will send a progress report if a query is not resolved within that time-frame. We resolve around 95% of customer queries within 24 hours.

All queries sent to our Support department are filtered and dispatched to the relevant department. An IT staff member is engaged in the communication process to resolve complicated technical issues.

[www.exchange-data.com](http://www.exchange-data.com)



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