

Doing data differently





Company Overview

Helping the global financial community make informed decisions through the provision of comprehensive, accurate, timely and affordable securities and economic data services

With more than 30 years' experience, we offer comprehensive and accurate securities reference, corporate actions and pricing data for derivatives, equities, fixed income, and investment funds around the globe. We also cover economic data extensively.

We understand how crucial financial and economic data is and take a different approach as to how we proceed:

- We do not rent data, we sell it
- We do not have onerous redistribution rules
- We customize our services to meet your needs
- We cover all countries – no matter how large or small
- Finally, we offer competitive prices

As a result of our on-going commitment to providing cost-effective and innovative data solutions, while ensuring the highest standards, EDI has achieved the internationally recognized quality and security certifications ISO 9001 and ISO 27001.

Headquartered in the United Kingdom, we have operations in Australia, Canada, Germany, India, Morocco, South Africa, and in the United States.

Our Support Team is available on +44 (0) 207 324 0020, 24 hours a day, Monday to Friday and are closed on Christmas and New Year's Day.



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Handling Corporate Actions Effectively

For many firms, corporate action processing is complicated, deadline-driven, not standardised, and to a large extent still manual. Not processing corporate actions effectively can damage the firm both financially and reputationally. The actual financial losses due to processing failures are somewhat lower than expected though, as firms in the industry spend very large sums on failure prevention.

Additionally, corporate actions are no longer just a 'back-office' issue but also has moved into the middle and front offices in financial firms.

Processing failures can arise anywhere in the corporate action chain, and all market participants run the risk of failures. Why is this the case:

- errors in the downstream flow of information
- there is no standard way in which events are announced by issuers
- there is no single securities identification system that is universally accepted
- different information sources are often inconsistent
- processing details and terminology are often specific to a particular market or financial instrument

The result is that accurate information on corporate actions is often difficult to obtain. Moreover, since each party in the chain is responsible for getting the information right, considerable resources are spent on various external data sources and internal data scrubbing efforts.

EDI have produced UK FundsCAB to help with corporate actions processing, producing more timely information than prescribed feed delivery times.

Monitor & Track Corporate Actions as They Happen

With UK FundsCAB, you can search, retrieve, manage and track corporate actions events and income distributions as they happen.

Use EDI's intuitive search functionality to quickly check a single issue or alternatively query the entire database using specific criteria such as event type, fund, and time frame.

Subscribers to FundsCAB get the additional benefit of daily emails of new announcements, for funds in their portfolio and their selection of events.

Easily Search and Retrieve

UK FundsCAB covers GB Open ended funds such as Unit Trusts and OEICs and includes corporate action sevenths and income distribution announcements. Closed Ended funds and ETFs are included in the UK CAB product, and sources announcements direct from the exchange and other sources. UK FundsCAB announcements are directly sourced from fund management companies, press releases, industry publications and other sources, making a total of up to 100 sources, daily.

UK FundsCAB is a cost-effective solution that helps customers search, retrieve, and manage corporate actions information.

A Cost-Effective Web-Based Application

The UK FundsCAB product is a cost-effective cloud-based application. The application sits on the main EDI website and can be accessed with a username and password.

The web-based application offers:

- Key search facilities
- Key data calendar
- Event announcements
- Portfolio import facility
- Download capabilities.

Event Coverage: Funds

The following corporate actions will be covered in UK FundsCAB:

Corporate Action Event
Administration
Cancellation of Listing
Capital Reduction
Capital Repayment
Capitalization/Bonus Issue
Change in Administrator
Change in Fees
Change of ISIN
Change of Name
Change Of Settlement Structure
Change to Distribution Frequency
Change to Investment Policy/Objective
Compulsory Purchase
Conversion
Dealing Suspension
Fund Closure
Fund Launch
Liquidation
Merger
Redomicile
Return of Capital
Scheme of Arrangement
Security Swap
Special Dividend
Sub-Division
Takeover

Example FundsCAB – Funds Closure

Corporate Actions Event Full View - Google Chrome

online.exchange-data.com/fundcab/full_view.php?cab_id=1679640476&cab_count=1&PSeclId=6724550&uid=8036&n=8036

Full Details CAB ID:1679640476/1

To Print this document(s) Click [here](#)

CAB ID:
0110/23/1

2023-03-24 11:59:39 (GMT)

Situation:
Thesis Unit Trust Management Limited has announced to close the TM Neuberger Berman Absolute Alpha Fund (the "Fund") on 21st April 2023 (the "Closure Date").

Issuer Name: Thesis Unit Trust Management Limited

Umbrella Name: TM Neuberger Berman Investment Funds

Sub Fund Name: TM Neuberger Berman Absolute Alpha Fund

Security: TM Neuberger Berman Absolute Alpha Fund GBP Cls Z ACC SMA IN

Dates:
2023-04-21 Effective Date

Security Details:

ISIN	Security Description
GB00BFMXJ599	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls F ACC SMA INST (BFMXJ59)
GB00BFMXJ821	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls F INC SMA INST (BFMXJ82)
GB00BFMXJ938	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls Z INC SMA INST (BFMXJ93)
GB00BFMXJ607	TM Neuberger Berman Absolute Alpha Fund GBP Cls Z ACC SMA INST ()
GB00BFMXJ714	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls P INC SMA INST (BFMXJ71)
GB00BFMXJ482	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls P ACC SMA INST (BFMXJ48)

Terms & Relative Details:

ISIN	FUND
GB00BFMXJ607	TM Neuberger Berman Absolute Alpha Fund GBP Cls Z ACC SMA INST
GB00BFMXJ714	TM Neuberger Berman Absolute Alpha Fund GBP Cls P INC SMA INST
GB00BFMXJ938	TM Neuberger Berman Absolute Alpha Fund GBP Cls Z INC SMA INST
GB00BFMXJ482	TM Neuberger Berman Absolute Alpha Fund GBP Cls P ACC SMA INST
GB00BFMXJ599	TM Neuberger Berman Absolute Alpha Fund GBP Cls F ACC SMA INST
GB00BFMXJ821	TM Neuberger Berman Absolute Alpha Fund GBP Cls F INC SMA INST

Further Information Reference:
All holdings on the effective date will be compulsorily redeemed. The funds will be closed to Buys and Sells on the 14th April 2023. Any model portfolios that contain the Fund will become invalid and need to be updated.



Customization

EDI is proud to offer the most effective and efficient solutions tailored to meet each individual customer's needs. We offer a range of customization options including:

- Delivery-based solutions to complement existing client infrastructure.
- Content delivered at the geographical or portfolio holding level.
- Feeds delivered in various formats, field content and integrated client level data items.

EDI uses its extensive data research expertise to source, scrub and integrate new client specified data items with existing products and services. For instance, a request from a multinational investment bank to source the DR universe and map it against its underlying share portfolio ultimately led to the development of EDI's successful Depositary Receipt Database.

In addition, EDI was the first vendor to successfully launch an ISO 15022 Corporate Action Messaging feed. This enables customers to reduce costs and increase efficiency by removing the need for multiple feed handlers.

Support

Customer Support

Monday - Friday
Open 24 hours

Saturday
Support Coverage ends 8am
(GMT)

Sunday
Support Coverage resumes at
11pm (GMT)

**Support Coverage is not
available**
Saturday 8am to Sunday 11pm

Call +44 207 324 0020

Email: support@exchange-data.com

Customer support is closed on Christmas and New Year's Day.

We aim to acknowledge all queries within an hour of receipt and answer queries within 24 hours where possible.

We will send a progress report if a query is not resolved within that timeframe. We resolve around 95% of customer queries within 24 hours.

All queries sent to our Support department are filtered and dispatched to the relevant department. An IT staff member is engaged in the communication process to resolve complicated technical issues.

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