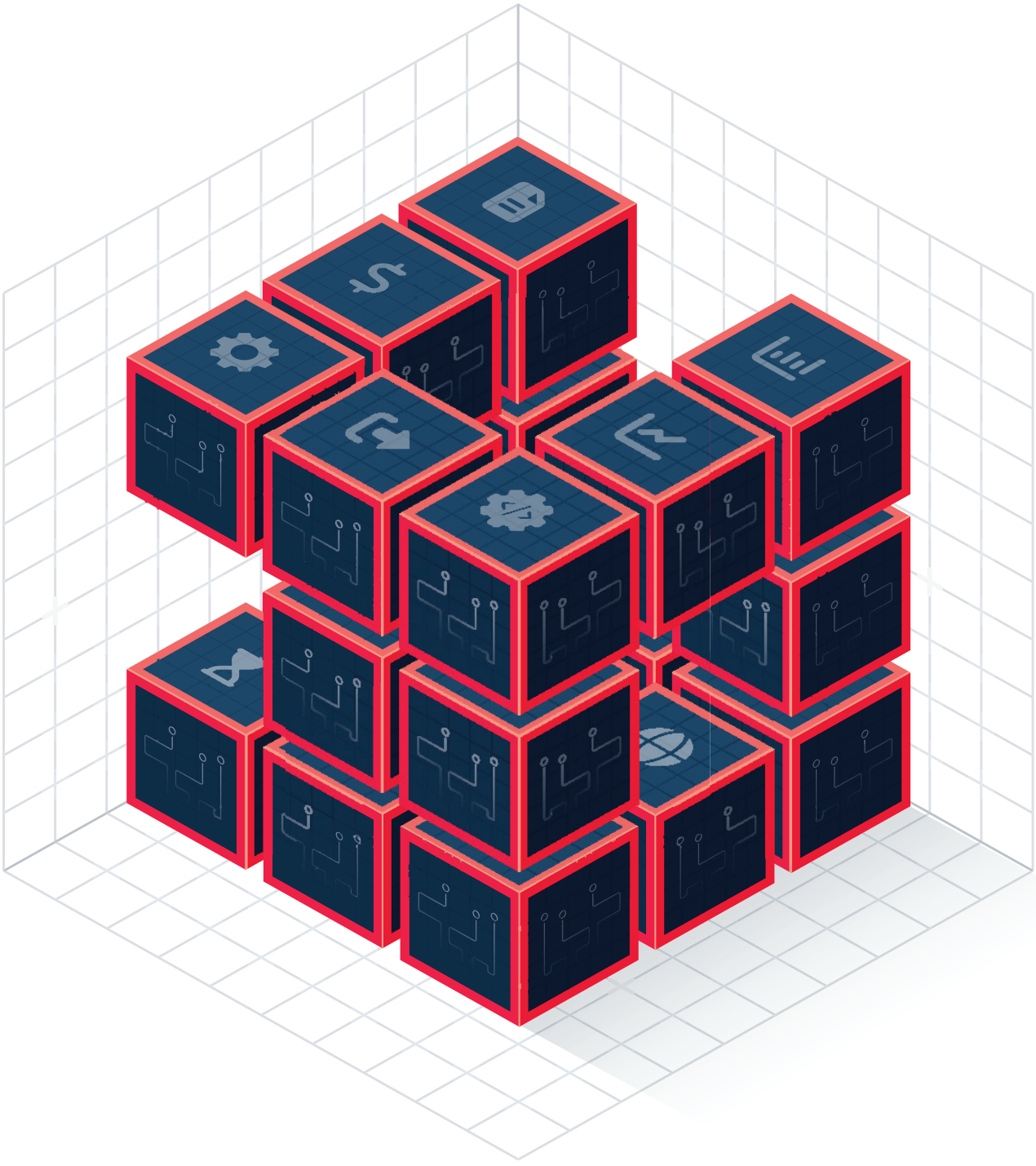
Investment Funds



Helping the global financial community make informed decisions through the provision of comprehensive, accurate, timely and affordable securities and economic data services

With more than 30 years' experience, we offer comprehensive and accurate securities reference, corporate actions and pricing data for derivatives, equities, fixed income, and investment funds around the globe. We also cover economic data extensively.

We understand how crucial financial and economic data is and take a different approach as to how we proceed:

- We do not rent data, we sell it
- We do not have onerous redistribution rules
- We customize our services to meet your needs
- We cover all countries - no matter how large or small
- Finally, we offer competitive prices

As a result of our on-going commitment to providing cost-effective and innovative data solutions, while ensuring the highest standards, EDI has achieved the internationally recognized quality and security certifications ISO 9001 and ISO 27001.

Headquartered in the United Kingdom, we have operations in Germany, India, Morocco, South Africa, and the United States.

Our Support Team is available on +44 (0) 207 324 0020, 24 hours a day, Monday to Friday and closed on Christmas and New Year's Day.

British Assessment Bureau UKAS MANAGEMENT SYSTEMS 8289
ISO 9001
QUALITY MANAGEMENT

British Assessment Bureau UKAS MANAGEMENT SYSTEMS 8289
ISO 27001
INFORMATION SECURITY MANAGEMENT

Please Note: *The statistics of this brochure are valid up to 17/11/2026. Request updated figures from your sales representative.*

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US Mutual Funds

Our US Mutual product offers the highest quality reference data, comprehensive corporate actions and dividends data plus a daily pricing feed for US Mutual funds. To support global trading schedules, EDI offers seven daily data feeds at the times below:

Feed	GMT	EST
1	05:30	00:30
2	08:30	03:30
3	10:30	05:30
4	12:30	07:30
5	14:30	09:30
6	16:30	11:30
7	18:35	13:35

EST times are shown in Eastern Standard Time; add one hour during U.S. daylight saving time.

Reference Data

Empowering investors with the highest quality reference data

Access dynamically updated, maintained, and detailed information on over 33,000 Mutual Funds, including 18,000 Unit Investment Trusts (UITs) and approximately 200 Interval Funds**.**

Facilitate SFTP

Reference data is used across the front, middle and back offices. Utilize our reference data to ensure straight through processing, from front to back office, to drive settlement and reconciliation systems.

Easily select securities reference data that really matters to you

Use our powerful search tool to search and select data from over 20+ fields including:

- US Code
- ISIN
- Bloomberg code
- Tickers & Local codes
- Issuer name

Have securities reference data delivered to you simply

Choose to receive EDI's reference data either daily with history via an SFTP connection or as a one-off ad-hoc feed.

Field Coverage – Reference Data

Fields	Description
Issuer ID	Unique ID for the Issuer linking all funds for the issuer
Issuer Name	Name of the Issuer of the Fund
Bloomberg Exchange Ticker	Bloomberg Exchange ticker enables users to link multiple codes at the trading venue level within the same country or market in order to obtain an aggregated view for an instrument within that country or market.
Bloomberg Global ID	Bloomberg Global Identifier - An identifier that is assigned to instruments of all asset classes and is unique to an individual instrument. Once issued, the FIGI assigned to an instrument will not change. Financial Instrument Global Identifier (FIGI) - An identifier that is as- signed to instruments of all asset classes and is unique to an individual instrument. Once issued, the FIGI assigned to an instrument will not change.
CFI	CFI Code is a 6-character code for classifying financial instruments in order to identify the type and characteristics of each financial instrument in accordance with international standards
Country of Incorporation	ISO country of Incorporation of the company
Country of Register	ISO Country where the fund is registered
Currency	ISO Currency of the fund
Exchange Code	Identifier for the exchange
Security Description	Summary description of the fund including share class, type of distribution, currency of the fund and whether the fund is hedged.
Security Type	The Fund type e.g., Mutual Funds
ISIN	ISIN code
Structure Code	The Legal structure
Listing Country	Country where the fund is listed
Listing Status	Indicates exchange listing status
Local Code	The local security identification code given by the exchange
MIC Code	ISO Market Identification Code
Primary Exchange	Y/N Field
Security ID	Unique ID for the Fund linking all listed funds
US Code	US security identification code

Corporate Actions

Reduce operational risk and ensure you have up-to-date information on US Mutual Funds

Processing corporate actions is essential to your business. Misinterpreted or missed information can have a significant cost to the business (trades undertaken using wrong information, reputational damage etc.) that can result in substantial losses. At EDI we deliver critical business information when you need it, directly from the source. So, you can be sure your firm does not miss key corporate action data. EDI also has a wealth of experience with over 25 years of participation in the corporate actions industry.

Easily select corporate actions data that really matters to you

Use our powerful search tool to search for and select corporate actions data including:

- Issuer ID
- US Local Code
- ISIN
- Event ID
- Issuer name
- CFI code
- Security ID
- FIGI Composite code
- FIGI code
- FIGI Exchange Ticker
- FIGI Composite Exchange
- Ticker

Field Coverage – Corporate Actions

- Announcement
- Buy Back
- CIK change (US only)
- Class Action
- Consolidation
- Dividend
- Fund Transfer
- Financial Year End Change
- General Meeting
- International Code change
- Issuer Name change
- Location change
- Listing Status change
- Lot change
- NAICS change (US only)
- Primary Exchange change
- Par Value redenomination
- Return of Capital
- Registered Office change
- Security Description change
- Security Swap
- Sub-division
- Security Reclassification

Pricing Data

Get access to US Mutual Funds Net Asset Values (NAVs) data as soon as it becomes available

Use our end-of-day pricing data feed to:

- Access closing pricing data gathered from US Mutual Funds and Unit Investment Trusts (UITs) directly sourced by EDI.
- Easily identify US funds through static data elements taken from the Security Reference File

Price Feed Field Coverage

Field Heading	Description
Pricefilesymbol	Ticker
Isin	ISIN Identifier of fund
Currency	Currency of Fund
PriceDate	Date of NAV
Close	Price field for NAVs
SecID	Security ID
MktCloseDate	Market Close Date
Issuername	Issuer of the Fund
Sectycd	Security Type Code (MF, UNT etc)
SecurityDesc	Fund Name
USCode	US Code
PrimaryExchgCD	Primary Exchange Code
ExchgCD	Exchange Code

U.S. Mutual Funds Net Asset Value (NAV) API

This API offers Net Asset Value (NAV) data for U.S. mutual funds. NAV data is updated on a daily end-of-day basis.

Data Coverage

Asset Classes: Open End Mutual Funds, and Money Market Funds.

Historical mutual fund values (NAV)

- Mutual fund identification by Symbol, US Local Code and ISIN.
- Mutual fund NAV data for one or more mutual funds per request
- Daily, historical time series or period (weeks, months, years) mutual fund NAV data
- Dividend history by mutual fund

Key Benefits

- Easy-to-use API to obtain end of day and historical mutual fund values (NAV) data
- Access institutional quality, curated data from a trusted source
- Use a single easy-to-use API to obtain comprehensive mutual fund prices
- Easily embed mutual fund (NAV) in your applications including spreadsheets, websites, mobile apps and other corporate applications
- Dramatically reduce time to market for apps that need mutual fund prices
- Eliminate the pains and complexity of legacy mutual fund feeds by using cloud API

Unit Investment Trusts

A unit investment trust (UIT) is an investment company that offers a fixed portfolio, generally of stocks and bonds, as redeemable units to investors for a specific period of time. It is designed to provide capital appreciation and/or dividend income. We cover all unit investment trusts issued in the United States.

Fields Available for UIT Reference

- UIT ID
- Action Flag
- Action Time
- ISIN
- US Code
- Local Code
- SEDOL
- Exchange Code
- Issuer Name
- Trust Name
- Security Name
- Security Description
- Deposit Date
- Maturity Date
- Distribution Date
- Distribution Frequency
- Tax Class

Fields Available for UIT Corporate Actions

- UIT ID
- Action Flag
- Action Time
- ISIN
- US Code
- Local Code
- SEDOL
- Exchange Code
- Issuer Name
- Trust Name
- Security Name
- Security Description
- Deposit Date
- Maturity Date
- Distribution Date
- Distribution Frequency
- Tax Class
- Ex-Dividend Date
- Record Date
- Pay Date
- Currency Code
- Gross Dividend
- Net Dividend
- Announced Date
- To Be Announced Flag
- Nil Dividend
- Approximate Dividend Flag
- Payment ID

Available for UIT Pricing

- MIC
- Pricefilesymbol
- ISIN
- Currency
- PriceDate
- Open (blank)
- High (blank)
- Low (blank)
- Close (NAV)
- Mid (blank)
- Ask (blank)
- Last (blank)
- Bid (blank)
- BidSize (blank)
- AskSize (blank)
- Trade Volume (blank)
- SecID
- MktCloseDate
- Volflag (blank)
- Issuername
- SectyCD
- SecurityDesc
- Sedol (blank)
- USCode
- PrimaryExchgCD
- ExchgCD
- TradedValue (blank)
- TotalTrades (blank)

U.S Dividend Reclassification

Reduce operational risk and ensure you have all details you require for the US income tax season

The US Dividend Reclassification service can be utilized to create 1099s for your clients, as a secondary source to validate your primary provider or as a tool for your client support group to research customer inquiries.

The files contain a number of security reference data fields, facilitating EDI's content into your security master including issuer name, Issuer name, Country of register, Multiple security Identifiers, CFI and CIK codes, Industry classifications (SIC, NAICS), Security type, Security Description, and Ticker symbol.

The files also contain the specific income classifications needed for accurate and timely income tax reporting for each dividend payable on security. NRA Exempt Income Dividend – Amount per share, exempted from additional withholding taxes on dividend/interest income, applicable to foreign entities (Individuals, partnerships, corporations, estates, and trusts) from sources within the U.S., such as mutual funds.

Coverage – US Open-Ended Funds, Closed-End Funds, Exchange Traded Funds, and Real Estate Investment Trusts.

Frequency – Beginning in mid-January, files are created daily throughout the tax season.

Historical Data – Our bulk feed provides details for the current tax year. If your firm requires historical data, we are available to discuss your specific needs and how we can assist you.

Customization – We provide files based on the full universe or portfolio, in transactional or full replacement format.

Web Portal – Our web product offering contains the same content set with multiple searching and downloading tools. Basic Search with multiple identifiers or partial name, Custom Search using date ranges and specific dates (announcement, ex-date, record date, etc.), View and download results, customize your data fields, on demand downloads or set them up to run intra-day or end of day based on full universe or your portfolio.

At EDI we deliver critical information when you need it, directly from the source. Our files contain the specific income classifications, needed for accurate and timely income tax reporting, for each dividend pay- able on the security.

- Tax Year End Date – will be filled with the last business day of the tax year.
- Dividend Allocated to Tax Year – Tax year that the dividend is applicable to. A dividend payable during the tax year might not be accountable for tax purposes in that year. Conversely a dividend payable in the following year might be considered accountable for tax purposes, in the previous year.
- Income Dividends – Amount of dividend that is categorized as ordinary income & subject to tax.
- Qualified Income Dividends – Denotes the portion of Ordinary Income that qualifies for the lower, capital gains, tax rate.
- Total Capital Gains – Total amount of Long-Term Capital Gains, Unrecaptured Section 1250 Gains, Section 1202 Gains and Collectibles Gain.
- Total Qualified Dividends – This consists of the total amount of ordinary income, short term capital gains and foreign tax paid, that qualifies for the lower tax rate and is not subject to ordinary income tax.
- Short Term Capital Gains – Amount representing Short Term Capital Gains, subject to ordinary income tax.
- Qualified Short Term Capital Gains – Amount of Short-Term Capital Gains that qualifies for the lower cap gains rate and is not subject to ordinary income tax.
- Long Term Capital Gains – This amount is included in the Total Capital Gains Field and will only be populated in this field, if required by the client.
- Qualified Long Term Capital Gains – field is not populated and saved for future use
- Section 1202 Gain – Under Section 1202, the amount of capital gains from selects small business securities, excluded from federal tax.
- Under 1250 Section – An unrecaptured Section 1250 gain, applicable to REITs, is a different category of

cap gains that reflect the amount of gain applicable to the sale of depreciated real estate, which is taxed at a different percentage.

- Collectibles (28%) Gain – Capital gain from a sale or exchange of collectibles and subject to a 28% capital gains rate.
- Foreign Taxes Paid – Foreign Tax amount, included in Total Ordinary Income that may be able to be claimed as a deduction or credit.
- Qualified Foreign Taxes Paid – Foreign Tax amount, included in Total Ordinary Income that qualifies for a lower capital gains rate.
- Exempt Interest Dividends – Represents Tax Exempt interest and is not subject to tax.
- Cash Liquidation Distribution – Amount of a liquidation distribution that is a cash amount.
- Noncash Liquidation Distribution – Amount of a liquidation distribution that is not a cash amount.
- Return of Capital – Amount of the dividend that is non-taxable.
- Percentage of AMT – The Portion of the Tax-Exempt Interest dividend that is subject to the alternative minimum tax.
- Section 199A Income Dividend – Sec. 199A, permits owners of sole proprietorships, S corporations, or partnerships to an additional deduction on qualified dividend income.
- Section 199A Short Term Capital Gains – Sec. 199A, permits owners of sole proprietor- ships, S corporations, or partnerships to an additional deduction on qualified short-term capital gains.
- Section 199A Foreign Tax Paid – Sec. 199A, permits owners of sole proprietorships, S corporations, or partnerships to an additional deduction on qualified foreign tax paid.
- Section 897 Income Dividend – Section 897 applies to distributions made by REITs to foreign shareholders, related to the disposition of a US real property interest. This field represents the amount of the dividends reported as “Income Dividends” that are also categorized as Section 897 Income.
- Section 897 Short-Term Capital Gains - Section 897 applies to distributions made by REITs to foreign shareholders, related to the disposition of a US real property interest. This field represents the amount of the dividends reported as “Short-Term Capital Gains” that are also categorized as Section 897 Income.
- Section 897 Foreign Tax Paid Gains - Section 897 applies to distributions made by REITs to foreign shareholders, related to the disposition of a US real property interest. This field represents the amount of the dividends reported as “Foreign Tax Paid” that are also categorized as Section 897 Income.
- Section 897 Ordinary Dividend – This field is merely an addition of the previous 3 fielded amounts. Section 897 applies to distributions made by REITs to foreign shareholders, related to the disposition of a US real property interest. This field represents the total of the amounts categorized as Section 897 Income, Section 897 Short-Term Capital Gains and Section 897 Foreign Tax Paid.
- Section 897 Capital Gain Gains - Section 897 applies to distributions made by REITs to foreign shareholders, related to the disposition of a US real property interest. This field represents the amount of the dividends reported as “Total Capital Gains” that are also categorized as Section 897 Income.
- NRA Exempt Income Dividend – Amount per share, exempted from additional withholding taxes on dividend/interest income, applicable to foreign entities (individuals, partnerships, corporations, estates, and trusts) from sources within the U.S., such as mutual funds.
- NRA Exempt Short-Term Capital Gain - The per share amount of the Short-term Capital Gain reported, that is exempt from U.S. withholding tax when paid to non-resident shareholders.
- HIRPTA Eligible Short-Term Capital Gain - The per share amount of the Short-term Capital Gain reported, that represents gain on the sale of U.S. Real Property Interests.
- FIRPTA Eligible Long-Term Capital Gain - The per share amount of the Long-term Capital Gain reported, that represents gain on the sale of U.S. Real Property Interests.

- Non-FIRPTA Long-Term Capital Gain subject to withholding - The per share amount of the Long-term Capital Gain reported, that is not considered gain on the sale of U.S. Real Property Interests but is still subject to NRA withholding.

There have been questions surrounding the processing of Split and Spillover dividends – see explanation below

Split dividends	These are dividends that are applicable to the Current Tax Year but will have a portion of the taxable amount applicable to the Current Tax Year and a portion applicable to the Following Tax Year.	There are a number of reasons that Issuers do this. EDI will create a reclass record for the dividend for both tax years. The record for the following tax year will not contain any breakdown amounts, only the gross amount, dates and the tax year populated with the following year value.
Spillover dividends	These are dividends that are declared, have a record date in the tax year with a payable in the next year.	In many cases these are taxable in the year of the record date but some may be deferred, for various reasons, to the year of the payable date. EDI will create a reclass record since the dividend was declared and record in the tax year. EDI will populate the Tax year field with either the Current Tax Year or the Following Tax Year, depending on what tax year the Issuer is stating that the dividend will be taxable in. The breakdown fields will only be populated if the tax year is equal to the current tax year.

Global Investment Funds (ex US)

The Investment Fund industry is estimated to be worth around $114 trillion worldwide. The share of financial assets owned through investment funds has been growing steadily and continues to expand particularly in emerging markets.

In this brochure we discuss Non-US Investment Funds Regulatory Data, Portfolio look-through, Legal Documentation, Reference Data, Dividend and Corporate Actions and our pricing NAV service.

EDI offers seven daily data feeds at the times* listed below:

Feed	IST	GMT	ET
1	09:00	03:30	22:30
2	12:30	07:00	02:00
3	14:30	09:00	04:00
4	16:30	11:00	06:00
5	18:30	13:00	08:00
6	20:30	15:00	10:00
7	22:45	17:15	12:15

EST times are shown in Eastern Standard Time; add one hour during U.S. daylight saving time.

Funds Coverage

The service covers open-ended funds from various countries.

Country	Number of Funds
Austria	3205
Australia	2910
Belgium	1911
Brazil	20573
Canada	31396
China	17818
Chile *	703
Denmark	1225
Finland	1514
France	10176
Germany	3417
Guernsey	289
Hong Kong	2331
India	12362
Indonesia	691
Ireland	37271
Italy	2052
Japan	5468
Jersey	76
Liechtenstein	2050
Luxembourg	80379

Country	Number of Funds
Malaysia	1726
Mexico	3993
Morocco *	453
Netherlands	925
Norway	1560
Pakistan	421
Philippines	239
Saudi Arabia *	351
Singapore	726
South Africa	4649
South Korea	25886
Spain	3860
Sweden	2054
Switzerland	4417
Taiwan	3972
Thailand	3481
Turkey *	543
UK	13722
Vietnam	62
UAE	206

Our current active universe stands at over 300,000 share class funds now and is growing every day.

Under Development*

The following Countries are under Development:

- Chile
- Morocco
- Saudi Arabia
- Turkey

Client Profiles

Client profiles that EDI have worked with within Investment Funds are mainly in the categories below, but not limited to:

• Retail Fund Platforms	• Stockbrokers
• Fund Research Companies	• Financial Information Data Vendors
• Fintech/startups	• Risk Managers
• Wealth Managers	• Index providers for FoFs
• Software Providers	• Audit firms

Regulatory Data

Collecting utilising all the different FinDatEx templates (EMT/EPT/EET) with consistent and accurate updates of cost and charges, fund risks level, target market, and MIFID II Business models. Also, data for target market categorisation and suitability for the different MIFID II business models.

Portfolio Look-through

Correcting portfolio holdings from asset managers in different formats. We have a robust methodology to apply unique identification codes for each fund and share class

Peer to Peer Analysis

Evaluation of an investment fund's performance, risks, cost structure, and portfolio holdings directly against a normalized group of funds sharing identical or highly similar investment mandates.

Target Market

We provide a group of end-investors for whom an investment product has been engineered, based on their financial capability, risk profile, and objectives.

Legal Documentation

We provide Legal prospectus, PRIIPs KIDs, Annual and Semi-annual reports.

Reference Data

Timely Delivery of Funds Data Direct from the source

With a dedicated team collecting and processing data from 300,000 funds daily, and direct links with over 5000 fund management companies, supplemented by third party data, you can be assured of timely delivery of funds data. See the table below for a full list of funds data reference fields.

Field Coverage – Reference Data

Fields	Description
ISIN	ISIN code
US Code	US Code (global level identifier)
Issuer Name	Name of the Issuer of the fund
Umbrella Name	The name of the umbrella fund
LEI	The Legal Entity Identifier (LEI) is a 20-character, alpha-numeric code. It enables clear and unique identification of legal entities participating in financial transactions. Each LEI contains information about an entity's ownership structure.
LEI RegStatus	The Legal Entity Identifier (LEI) has a status indicator that specifies whether the fund LEI is Issued, Retired, Lapsed or Merged.
Security Description	Summary description of the fund including share class, type of distribution, currency of the fund and whether the fund is hedged or not.
Sub fund Name	The Name of the Sub fund
SEDOL	UK Security identification number.
Local Code	Local Code assigned by the exchange or the ticker.
Secondary Local Codes	Codes used by the market that is not assigned by the exchange or is a ticker code.
WKN	The Wertpapierkennnummer (WKN is a German securities identification code.
Bloomberg Composite ID	The Bloomberg Composite Identifier enables users to link multiple Bloomberg codes at the trading venue level within the same country or market in order to obtain an aggregated view for an instrument within that country or market.
Bloomberg Composite Ticker	Bloomberg Composite Ticker
Bloomberg Global ID	Bloomberg Global Identifier- An identifier that is assigned to instruments of all asset classes and is unique to an individual instrument. Once issued, the Bloomberg Global ID assigned to an instrument will not change.
Bloomberg Exchange Ticker	Bloomberg Exchange Ticker
Bloomberg Global Share Class ID	The Bloomberg Global Share Class Identifier is assigned to an instrument that is traded in more than one country. This enables users to link multiple Composite Bloomberg IDs for the same instrument in order to obtain an aggregated view for that instrument across all countries.
CFI Code	CFI Code is a 6-character code for classifying financial instruments in order to identify the type and characteristics of each financial instrument in accordance with international standards
Country of Incorporation	2-character ISO code for the country of Incorporation of the company
Country of Register	2-character ISO code for the country of register of the company
Currency	The 3-character ISO currency code to denote the currency denomination of the fund.
Distribution Policy	The type of distribution: ACC or INC

Distribution Frequency	Frequency with which the distributions would happen. E.g. Monthly, Quarterly or Annually
Exchange Code	EDI Identifier for the exchange
Open / Closed	Whether the fund is open or closed
Fund Type	2 Character code to denote the type of fund (e.g. UC = UCITS, AI = AIFs)
Inception Data	The date the fund was a created
Legal Structure	The Legal structure (e.g. ICAV, SICAF, CCFs)
MIC Code	4-character ISO Market Identification Code
Fund Administrator Name & Address	The name, address, county, country, zip code and the company home page URL of the company that administers the fund
Management Company Name and Address	The name, address, county, country, zip code and the company home page URL of the company that manages the fund
Base Currency	ISO 3-character currency code of the reference base currency
Financial Year End	The date of the end of the fund's financial year
EFC Name	European Fund Classification Name
EFC Code	European Fund Classification Code
EFC High Level Category	European Fund Classification High Level Category
EFC Category	European Fund Classification Category
EFC Verified	One of 3 types of classification: Indicative, Verified & Not Classified
Series	The series number of the fund
Class	The fund class
Investor Profile	Whether the fund is Institutional or Retail
Hedged	Whether the fund is hedged
Listing Date	Date the fund is listed at an exchange (if applicable)
Feeder Fund Flag	Is the Fund a Feeder Fund
Reporting Fund HMRC number	Number assigned to the Fund by the HMRC in the UK as a reporting fund
Reporting Fund Start Date	The date when the fund becomes a reporting fund
Reporting Fund Cessation Date	The date when the fund ceases to be a reporting fund
Islamic Fund Flag	Is the fund considered to be investing in a shariah compliant way
Retirement Eligibility Country	Country where the retirement product eligibility is based.
Retirement Eligibility	The type of retirement product (e.g. SIIP in UK, Regulation 28 in South Africa etc.).
Tax Wrapper Country	Country where tax efficient product eligibility is based.
Tax Wrapper	The type of tax efficient product (e.g. ISA in UK)

Corporate Actions

Combine our Reference Data with our Corporate Actions service, to benefit from comprehensive coverage of all open-ended funds. The product offers corporate actions events and also now splits out various income streams for funds, namely Dividend, Interest and Property, where applicable.

Utilize Our Comprehensive Corporate Actions

The risk of a financial firm missing a corporate action can run into a loss of millions of pounds and can lead to reputational damage. Use EDI's corporate action service for funds and get coverage of the corporate action for the funds you hold.

Use EDI's Standardized Feeds to Develop an Enterprise Solution

Our funds data service will provide you with crucial dividend and corporate actions information. Corporate actions are often complex and deadline-driven and of importance to front, middle and back offices in a firm. Use our standardized feeds to ensure your firm is processing corporate actions and cascading them down the organization into downstream risk models and trading strategies.

The Funds Data Service helps Calculating Accurate Tax Liability

Investors and representative firms can calculate the correct tax liability payable by investors based on the breakout of various income streams in the EDI corporate actions feed.

Investment Fund Events

EDI is planning initially to provide the events listed below for funds, although this will evolve over time and after feedback from the industry. They are:

- Distributions (e.g. Dividend, Interest, Property)
- Consolidation
- Conversion
- International Code Change
- Issuer Name Change
- Liquidation / Return on Capital
- Fund Closure
- Change to Distribution Frequency
- Investment Policy and Objective
- Reference Data Changes
- Fund Closure
- Merger
- Security Name change
- Security Swap
- Subdivision
- Fund Launch
- Change in Fees

Investment Fund NAVs Service

The Investment Funds Net Asset Value Service (NAVs) aims to deliver a bespoke database of NAVs to financial institutions. This service currently covers mainly Europe can be extended to investment fund providers in all the countries in the world on request. Our aim is to provide NAVs, whether daily/weekly/monthly/quarterly as soon as they are released by the respective Fund Houses.

Based on individual client requirements, EDI can set up feeds of NAVs of the selected universe of funds on a daily basis. Additional fields can be added based on client requirements depending on the public availability of the information.

Features of the Investment Fund NAVs Service

- Clients can flexibly choose the Investment Fund share classes NAVs they would like to receive.
- Apart from NAVs, additional fields can be made available subject to availability of public information.
- Flexible delivery – APIs, SFTP, Email, etc.
- Our operations team continuously monitors NAV releases 24/5 and updates clients at a mutually agreed time.

Delivery Method of the Investment Fund NAVs Service

We can deliver the files to individual clients via SFTP or API depending on the needs of the client. We are open to other forms of delivery depending on the client requirements.

The table below has a list of API fields available.

NAV Data Fields

Fields	Data Type	Description
Copyright	String	Copyright statement
Symbolcount	Integer	Number of records returned in response
Date	Date YYYY-MM-DD	Date of Net Asset Value
Identifier	String	Identifier
Identifier_type	String	Type of identifier – ISIN, SEDOL, CUSIP
NAV_Currency	String	Currency of Net Asset Value
Fund_Name	String	Name of the fund
NAV	Float	A fund's net asset value (NAV) represents its per-share price investors would spend to purchase a single share of the investment. NAV is calculated once a day by dividing a fund's total net assets by its number of shares outstanding. Shares in regular Open-End Mutual Funds are bought and sold at NAV, through an Investment Fund Company, once a day after market close once the daily NAV has been calculated.
Previous_NAV	Float	Previous day Net Asset Value
Previous_NAV_Date	Date YYYY-MM-DD	Date of previous day Net Asset Value
Timestamp	Datetime	Timestamp of Net Asset Value
NAV_Change%	Float	NAV change percent
Fund_TNA	Float	Fund Total Net Asset value
Fund_TNA_CCY	String	Fund Total Net Asset currency
TNA_Date	Date YYYY-MM-DD	Fund Total Net Asset date
Fund_TNA_USD	Float	Fund Total Net Asset USD value

UK FundsCAB Service

For many firms, corporate action processing is complicated, deadline-driven, not standardised, and to a large extent still manual. Not processing corporate actions effectively can damage the firm both financially and reputationally. The actual financial losses due to processing failures are somewhat lower than expected though, as firms in the industry spend very large sums on failure prevention.

Additionally, corporate actions are no longer just a 'back-office' issue but also have moved into the middle and front offices in financial firms.

Processing failures can arise anywhere in the corporate action chain, and all market participants run the risk of failures. Why is this the case:

- errors in the downstream flow of information
- there is no standard way in which events are announced by issuers
- there is no single securities identification system that is universally accepted
- different information sources are often inconsistent
- processing details and terminology are often specific to a particular market or financial instrument

The result is that accurate information on corporate actions is often difficult to obtain. Moreover, since each party in the chain is responsible for getting the information right, considerable resources are spent on various external data sources and internal data scrubbing efforts.

EDI's UK FundsCAB streamlines corporate actions processing by delivering information faster than standard feed schedules. Designed to support global trading cycles, the service provides near-instant updates, transmitting data immediately after analyst submission. Covering more than 13,700 share class funds, UK FundsCAB ensures continuous access to accurate, market-aligned data for timely decision-making.

Monitor & track corporate actions as they happen

With UK FundsCAB, you can search, retrieve, manage and track corporate actions events and income distributions as they happen.

Use EDI's intuitive search functionality to quickly check a single issue or alternatively query the entire database using specific criteria such as event type, fund, and time frame.

Subscribers to FundsCAB get the additional benefit of daily emails of new announcements, for funds in their portfolio and their selection of events.

Easily Search and Retrieve

UK FundsCAB covers GB Open ended funds such as Unit Trusts and OEICs and includes corporate action sevenths and income distribution announcements. Closed Ended funds and ETFs are included in the UK CAB product, and sources announcements direct from the exchange and other sources.

UK FundsCAB announcements are directly sourced from fund management companies, press releases, industry publications and other sources, making a total of up to 100 sources, daily.

UK FundsCAB is a cost-effective solution that helps customers search, retrieve, and manage corporate actions information.

A cost-effective web-based application

The UK FundsCAB product is a cost-effective cloud-based application. The application sits on the main EDI website and can be accessed with a username and password.

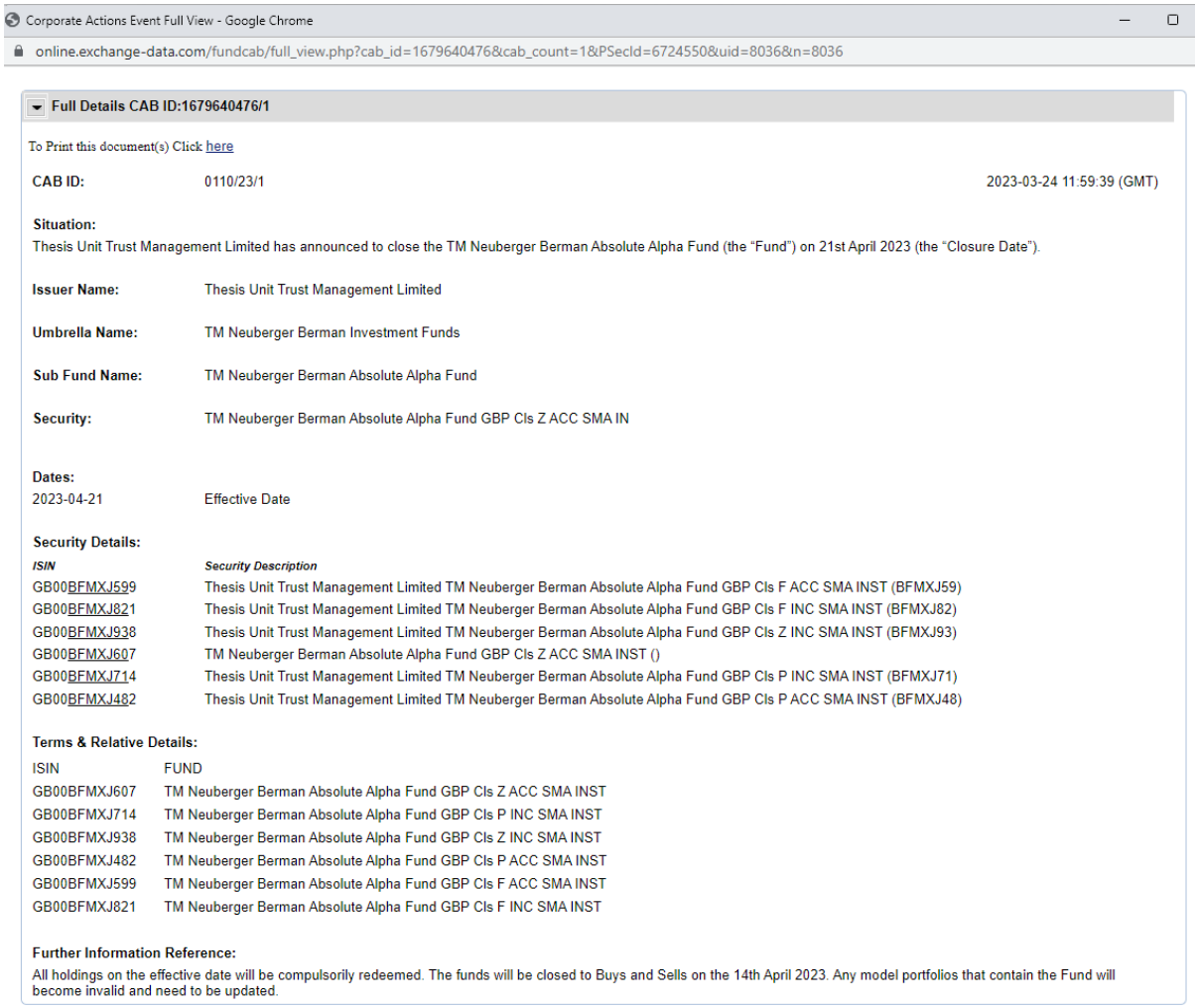
The web-based application offers:

- Key search facilities
- Key data calendar
- Event announcements
- Portfolio import facility
- Download capabilities.

Event Coverage: Funds

Corporate Action Event
Administration
Cancellation of Listing
Capital Reduction
Capital Repayment
Capitalization/Bonus Issue
Change in Administrator
Change in Fees
Change of ISIN
Change of Name
Change Of Settlement Structure
Change to Distribution Frequency
Change to Investment Policy/Objective
Compulsory Purchase
Conversion
Dealing Suspension
Fund Closure
Fund Launch
Liquidation
Merger
Redomicile
Return of Capital
Scheme of Arrangement
Security Swap
Special Dividend
Sub-Division
Takeover

Example FundsCAB – Funds Closure



Corporate Actions Event Full View - Google Chrome

online.exchange-data.com/fundcab/full_view.php?cab_id=1679640476&cab_count=1&PSecId=6724550&uid=8036&n=8036

Full Details CAB ID:1679640476/1

To Print this document(s) Click here

CAB ID: 0110/23/1 2023-03-24 11:59:39 (GMT)

Situation:
Thesis Unit Trust Management Limited has announced to close the TM Neuberger Berman Absolute Alpha Fund (the "Fund") on 21st April 2023 (the "Closure Date").

Issuer Name: Thesis Unit Trust Management Limited

Umbrella Name: TM Neuberger Berman Investment Funds

Sub Fund Name: TM Neuberger Berman Absolute Alpha Fund

Security: TM Neuberger Berman Absolute Alpha Fund GBP Cls Z ACC SMA IN

Dates:

2023-04-21	Effective Date

Security Details:

ISIN	*Security Description*
GB00BFMXJ599	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls F ACC SMA INST (BFMXJ59)
GB00BFMXJ821	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls F INC SMA INST (BFMXJ82)
GB00BFMXJ938	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls Z INC SMA INST (BFMXJ93)
GB00BFMXJ607	TM Neuberger Berman Absolute Alpha Fund GBP Cls Z ACC SMA INST ()
GB00BFMXJ714	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls P INC SMA INST (BFMXJ71)
GB00BFMXJ482	Thesis Unit Trust Management Limited TM Neuberger Berman Absolute Alpha Fund GBP Cls P ACC SMA INST (BFMXJ48)

Terms & Relative Details:

ISIN	FUND
GB00BFMXJ607	TM Neuberger Berman Absolute Alpha Fund GBP Cls Z ACC SMA INST
GB00BFMXJ714	TM Neuberger Berman Absolute Alpha Fund GBP Cls P INC SMA INST
GB00BFMXJ938	TM Neuberger Berman Absolute Alpha Fund GBP Cls Z INC SMA INST
GB00BFMXJ482	TM Neuberger Berman Absolute Alpha Fund GBP Cls P ACC SMA INST
GB00BFMXJ599	TM Neuberger Berman Absolute Alpha Fund GBP Cls F ACC SMA INST
GB00BFMXJ821	TM Neuberger Berman Absolute Alpha Fund GBP Cls F INC SMA INST

Further Information Reference:
All holdings on the effective date will be compulsorily redeemed. The funds will be closed to Buys and Sells on the 14th April 2023. Any model portfolios that contain the Fund will become invalid and need to be updated.

Customization

EDI is proud to offer the most effective and efficient solutions tailored to meet each individual customer's needs. We offer a range of customization options including:

- Delivery-based solutions to complement existing client infrastructure.
- Content delivered at the geographical or portfolio holding level.
- Feeds delivered in various formats, field content and integrated client level data items.

EDI uses its extensive data research expertise to source, scrub and integrate new client specified data items with existing products and services. For instance, a request from a multinational investment bank to source the DR universe and map it against its underlying share portfolio ultimately led to the development of EDI's successful Depositary Receipt Database.

In addition, EDI was the first vendor to successfully launch an ISO 15022 Corporate Action Messaging feed. This enables customers to reduce costs and increase efficiency by removing the need for multiple feed handlers.

Support

Customer Support

Monday – Friday
Open 24 hours

Saturday
Support Coverage ends 8am (GMT)

Sunday
Support Coverage resumes at 11pm (GMT)

Support Coverage is not available
Saturday 8am to Sunday 11pm

Call +44 207 324 0020

Email: support@exchange-data.com

Customer support is closed on Christmas and New Year's Day.

We aim to acknowledge all queries within an hour of receipt and answer queries within 24 hours where possible.

We will send a progress report if a query is not resolved within that timeframe. We resolve around 95% of customer queries within 24 hours.

All queries sent to our Support department are filtered and dispatched to the relevant department. An IT staff member is engaged in the communication process to resolve complicated technical issues.

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